

# Special Terms of the Securities Account Opening Agreement

## LEGAL ENTITIES AND EQUIVALENT ENTITIES

### Summary list of the documents and supporting documents to be returned

*All documents are mandatory*

- a copy of the “application to open a direct registered securities account” duly completed and signed;
- a Kbis extract, showing the entity's entry in the Trade and Companies Register, not more than three months old, certified as a true copy by the legal representative or, for foreign companies, a registration certificate valid in the country of residence certified as a true copy by the legal representative;
- photocopies of both sides of a valid ID document belonging to the legal representative (national identity card or the first four pages of a passport);
- an up-to-date and signed copy of the articles of association, certified as a true copy by the legal representative;
- the list of authorised signatories and their powers coupled with a legible photocopy of both sides of authorised persons' ID documents;
- the details of the bank account held in the name of the company that will be used to receive dividends and proceeds of sales:

#### For holders residing in France and in the European Economic Area

- The BIC<sup>1</sup> of your bank;
- The IBAN<sup>2</sup> code of your account.

#### For non-resident holders in the European Economic Area, a document from your bank containing the following information:

- The name, address, ID code of your bank (BIC/SWIFT<sup>1</sup> code), the routing number of your branch (ABA Number, BSB Number, Sort Code, Transit Number, etc.) and your account number in full;
  - If your bank is not listed with the SWIFT<sup>1</sup> network, you must also forward the name, address and SWIFT<sup>1</sup> code of your bank's SWIFT<sup>1</sup> correspondent and the number of your bank's account held with this organisation.  
*A copy of the Wire Transfer Form is available upon request your Shareholder Relations Advisor.*
- a list and the identity (\*) of the beneficial owners (\*\*) (mandatory only for unlisted company).

(\*) Copy of ID and percentage held.

(\*\*) Where the legal entity is a company, the beneficial owner of the transaction is understood to mean the natural person(s) who either hold, directly or indirectly, more than 25% of the capital or voting rights of the company, or exercise by any other means power of control over the management, administrative or executive bodies of the company or over the general meeting of its shareholders.

<sup>1</sup>A BIC (also called a SWIFT code) is a standard international code that identifies a bank if it is listed with SWIFT — an international organisation that manages BICs.

<sup>2</sup>An IBAN code is a standard international code that identifies a bank account, regardless of the bank and the country in which this account is held.



## Applicable fees and charges

Name of issuer: AIR LIQUIDE

Issuer Number : 1

The rates and charges applicable by Uptevia and currently in force concerning ordinary transactions in connection with your registered account can be consulted on your Air Liquide website ([www.actionairliquide.com](http://www.actionairliquide.com)) or on request from our Shareholder Relations Advisor via the contact form available online or by telephone on the number provided in the accompanying letter.

### Stock market orders

Fees on orders sent through Air Liquide Website	Fees (excluding tax) Minimum fee: 0,00 €	0,10%
Fees on orders sent excepted by Air Liquide Website	Fees (excluding tax) Minimum fee: 0,00 €	0,15%

### Order fees

Processing fees for paper orders:	Included
Cheque letter processing fees:	Included
Charges for payment by transfer in the SEPA area:	Included
Charges for payment by transfer outside the SEPA area:	Included
Additional fixed fees:	Included

### Services ancillary to the operation and monitoring of the registered securities account:

Securities account fees:	Included
Provision of account statements on request, except for annual periods:	Included
Production and provision of specific certificates on request:	Included
Costs of converting pure registered securities to administered registered or bearer securities:	Included
Costs of searches for documents dating back more than 3 years:	Included
Costs of searches for transactions dating back more than 3 years:	Included
Estate processing fees, per beneficiary:	Included
Collateral processing fees:	Included
Processing fees for manual donations, customary gift, per beneficiary:	Included
Processing fees for notarised donations, per beneficiary:	Included
Costs of setting up a shareholders' agreement:	Included
Threshold capping fees:	Included
Processing fees for non-standard transactions:	Included
Costs of analysis and study of documents in connection with closing transactions:	Included
Additional fees for non-standard stock market transactions:	Included

### Payment of dividends or sale proceeds:

Payment of sale proceeds by cheque (in France only):	Included
Fee for cancelling an issued cheque:	Included
Request to reclaim withholding tax submitted after payment of the dividend:	Included



## Payment in international currencies

As part of this service, Uptevia delegates payments in foreign currencies to an intermediary. Uptevia receives remuneration for the service from the intermediary amounting to 50% of the total foreign exchange fees registered for the period.

- Exchange fees:

USD	<= 100 000 \$	3.50%
	>100 000 \$	1.25%
AUD, CAD, CHF, DKK, GBP, HKD, JPY, MXN, NOK, SGD	<= 100 000 \$	1.50%
	>100 000 \$	1.25%
INR, MAD, MYR, PHP, RUB, THB, VEF	<= 100 000 \$	2.50%
	>100 000 \$	2.00%
AED, CZK, ILS, NZD, PLN, SEK, TRY, ZAR, BHD, BMD, BND, HRK, HUF, KWD, OMR, QAR, RON, SAR		2.00%
Other Currencies		2.50%

Examples : you want to be paid in USD or GBP :

The amount of your negotiation in Euros is:	81 429.91 EUR	199 988.93 EUR
After applying the exchange rate on the day of settlement which in our example amounts to 1.22805 USD and at 0.9030 GBP, you sold for:	100 000 USD	180 590 GBP
An interbank commission is charged to you, it corresponds to 3.5% applied to the 100 000 USD and 1.25% applied to the 180 590 GBP (refer to the price list above) which gives an amount of:	3 500 USD	2 257.38 GBP
After deduction of fees, the net amount paid into your account is:	96 500 USD	178 332.62 GBP



**COPY TO RETURN**

Name of issuer: AIR LIQUIDE  
Nominative current account number :

Issuer number : 1

**Application form for opening a financial securities account registered in pure name  
(Legal entities and equivalent)**

**I. Identification of the account**

Account type (please tick as appropriate):

- Full ownership (one holder)  
 Bare ownership/Usufruct  
 Undivided ownership

Company :  Listed       Unlisted

**II. Identity of the holder**  
*All fields are mandatory*

A.  1/ Commerciale company       2/ Non-trading company       3/ Other legal form :

Company name:

I am « US Person » :      Yes       No

Taxpayer Identification Number (TIN) .....

Tax address

Postal address (*if different from tax address*)

Res./building

Res/building

N°, Street

N°, Street

Postcode

Postcode

City/Town

City/Town

Country

Country

SIREN no. (or equivalent) :

LEI<sup>3</sup> (*Legal Entity Identifier*)(20-character alphanumeric code):

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Legal form :

Nationality :

Persons authorised to act in the name of the legal entity :

Title	Surname	First name	Position	Phone	Email address

<sup>3</sup> for French residents: An LEI may be obtained from INSEE. This identifier is valid for one year and must be renewed in the six months prior to its expiry date  
for non-residents: Declarants can simply contact their usual commercial partner in the list of LEI issuing organisations available on the GLEIF (Global Legal Entity Identifier Foundation) website.



**COPY TO RETURN**

Nominative current account number :

**B.**  Registered intermediary

Company name :

Address of registered office (*or of the administration department*):

SIREN no. (*or equivalent*) :

LEI<sup>4</sup> (*Legal Entity Identifier*) (20-character alphanumeric code) :

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Legal form :

Nationality :

Persons authorised to act in the name of the registered intermediary :

Title	Surname	First name	Position	Phone	Email address

**III. Tax residence of the holder**

Resident of France     Resident of the EEA<sup>5</sup>     Resident of the country outside the EEA<sup>5</sup>

**IV. Categorisation of the holder**

Pursuant to DIRECTIVE 2014/65/EU OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 15 May 2014 and COMMISSION DELEGATED REGULATION (EU) 2017/565 of 25 April 2016, the Issuer is required to sort the Holder into one of the following categories: Retail Client, Professional Client or Eligible Counterparty.

**V. Approval of the reception-transmission of orders and direct registered securities account opening and account-keeping agreement**

I hereby declare that I have read the general terms and conditions and specific terms and conditions in the securities account agreement, as well as the fee conditions.

Signed in:

Date:

Signature of the holder preceded by the handwritten annotation " <i>Bon pour accord</i> " (Agreed)	
Signature of the authorised Representative of the legal entity Representative of the Registered Intermediary (or its attorney)	Signature of the authorised (or its attorney)
<i>Surname, first name, title</i>	<i>Surname, first name, title</i>

<sup>4</sup>for French residents: An LEI may be obtained from INSEE. This identifier is valid for one year and must be renewed in the six months prior to its expiry date.

for non-residents: Declarants can simply contact their usual commercial partner in the list of LEI issuing organisations available on the GLEIF (Global Legal Entity Identifier Foundation) website.

<sup>5</sup>EEA: European Economic Area



## SELF-CERTIFICATION OF TAX RESIDENCY SWORN DECLARATION

France has signed tax transparency agreements with a number of countries. In this context, financial institutions are required to identify all the tax residences of their customers and to communicate to the relevant tax authorities a certain amount of financial information concerning customers subject to their tax legislation.

These obligations apply in particular to persons considered as 'US Persons' under US law. A 'US Person' is a person who qualifies as a US taxpayer under US tax law.

The purpose of this document is to enable you to declare all the tax residence statuses to which you are subject.

Under article 441-1 of the criminal code, the deliberate self-certification of a false tax residence, tax identification number or capacity by an account holder or a natural person controlling the account (in the case of passive non-financial entities) is an offence punishable by three years' imprisonment and a fine of EUR 45,000.

I am aware that I must indicate in this certificate all countries in which I am a tax resident based on my situation and the relevant tax laws of the countries concerned and I certify on my honour and under my responsibility that the information contained in this self-certification is accurate and complete to the best of my knowledge.

I undertake to notify Uptevia, by post or e-mail, within 30 days regarding any change that may occur in respect of this information. I acknowledge that I have been informed that:

- this self-certification will be subject to a plausibility check by Uptevia, after which Uptevia may have cause to ask me to provide additional proof;
- the information contained in this self-certification may be communicated to the French tax authorities and sent by the latter to the tax authorities of my country/countries of tax residence.

*In the event of any difficulty in determining your tax residence(s), it may be necessary to consult the websites of the tax authorities or a tax adviser. Personal data relating to your tax situation is processed by Uptevia on the basis of a legal obligation and is communicated to the tax authorities.*

*In accordance with the law, you have a right of access and rectification, which you may exercise. For more information, you can consult Uptevia's data protection policy on our website.*



**SELF-CERTIFICATION OF TAX RESIDENCY  
(SWORN DECLARATION)**

To be returned in as many forms as there are holders

Nominative current account number :

Company name:

Postal address:

Tax residences outside the United States

My tax residence(s) is/are located:

- in France: Yes  No

- and / or in another country: Yes  No

If you are tax resident in one of the following countries, please complete:

Countries	Associated TIN
Italy	
Spain	
Poland	
Malta	
Estonia	

Special case of US tax legislation

I am a 'US Person' within the meaning of US tax regulations:

Yes  No

If yes, please tick one of the 2 boxes if one of them applies to you:

I already have my US Taxpayer Identification Number (TIN):

I do not yet have my TIN but I certify on my honour that I have undertaken one of the following formalities:

- I do not yet have my TIN and I have taken steps with the Internal Revenue Services to resolve my situation and obtain a TIN; or

- I have taken steps with the American authorities to renounce my American citizenship.

Signed in \_\_\_\_\_ on \_\_\_\_\_

Name and capacity of the signatory:

Signature

